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Electronic Patent Application Submission
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Application ID: 10064171
Title of Invention: DOUBLE GATE TRENCH
TRANSISTOR
First Named Inventor: James Adkisson
Domestic/Foreign Application: Domestic Application
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Effective Receipt Date: 2002-06-18
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Filing Type: new-utility
Confirmation Number: 0
Attorney Docket Number: BUR919990299
Digital Certificate Holder: cn=Mark F. Chadurjian, ou=Registered Attorneys, ou=Patent and
Trademark Office, ou=Department of Commerce, o=U.S.
Government, c=US
Certificate Message Digest: wHvHvzRCgxoc0DuUkXUVJA==
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Deposit Account Number: 90456
Deposit Account Name: Mark F. Chadurjian



TRANSMITTAL FORM

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06/18/02

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Attorney Docket
Number:

BUR919990299

Submission Type: Utility
Patent Filing

DOUBLE GATE TRENCH TRANSISTOR

First Named Inventor: James W. Adkisson

SUBMITTED BY

Name:

Mark F. Chadurjian

Registration Number:

30,739

Electronic Signature Mark: Mark F.
Chadurjian / s /

Date Signed: 20020618

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

declaration

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declaration

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declaration	BUR919990299dec6.tif
fee-transmittal	BUR919990299fee.xml
us-information-disclosure-statement	BUR919990299ids.xml
specification	BUR919990299.xml
bid-transmittal	BUR919990299apds.xml

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10064171-061802

Comments:

Assignee: International Business Machines Corporation, Armonk, New York 10504

10064171.061809

Application for United States Patent

Declaration and Power of Attorney

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **DOUBLE GATE TRENCH TRANSISTOR**, the specification of which:

(check one) ☒ is attached hereto
☐ was filed on _____ as
Application Serial No. _____
and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).*

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
<u>None</u>				
(Number)	(Country)	(Day/Month/Year Filed)	yes	no
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
(Number)	(Country)	(Day/Month/Year Filed)	yes	no

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>None</u>		
(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)
<u> </u>	<u> </u>	<u> </u>

Power of Attorney: As a named inventor, I hereby appoint Mark F. Chadurjian, Reg. No. 30,739, Richard A. Henkler, Reg. No. 39,220, Richard M. Kotulak, Reg. No. 27,712, James M. Leas, Reg. No. 34,372, William D. Sabo, Reg. No. 27,465, Eugene I. Shkurko, Reg. No. 36,678, Robert A. Walsh, Reg. No. 24,832, Howard J. Walter, Jr., Reg. No. 24,832, Christopher A. Hughes, Reg. No. 26,914, Edward A. Pennington, Reg. No. 32,588, John E. Hoel, Reg. No. 26,279, Joseph C. Redmond, Jr., Reg. No. 18,753, C. Lamont Whitham, Reg. No. 22,424, Marshall M. Curtis, Reg. No. 33,138, Michael E. Whitham, Reg. No. 32,635 and Joseph M. Martinez de Andino, Reg. No. 37,178, as attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. All correspondence should be directed to McGuire Woods LLP, 1750 Tysons Boulevard, Suite 1800, Tysons Corner, McLean, Virginia 22102-3915. Telephone calls should be directed to McGuireWoods, LLP at (703) 712-5000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(1) Inventor: James W. Adkisson

Signature: 

Residence: 55 Fields Lane, Jericho, Vermont 05465

Citizenship: U.S.A.

Post Office Address: Same As Residence

10/17/00
Date

(2) Inventor: Paul D. Agnello

Signature: _____

Residence: 17 Bray Farm Lane, Wappingers Falls, New York 12590

Citizenship: U.S.A.

Post Office Address: Same As Residence

Date

(3) Inventor: Arne W. Ballantine

Signature: Residence: 9 Forge Gate Drive, Unit E-8, Cold Spring, New York 10516 Aurb 11/1/00
25 Cove Ave. Round Lake, NY 12157

Citizenship: U.S.A.

Post Office Address: Same As Residence

11/1/00
Date

(4) Inventor: Rama Divakaruni

Signature: _____

Residence: 131B Heritage Hills, Somers, New York, 10589

Citizenship: U.S.A.

Post Office Address: Same As Residence

Date

(5) Inventor: Erin C. Jones

Signature: _____

Residence: 192 Midland Ave., Tuckahoe, New York, 10707

Citizenship: U.S.A.

Post Office Address: Same As Residence

Date

(6) Inventor: Jed H. Rankin

Signature: Residence: 220 Riverside Ave., Apt. #C8, Burlington, Vermont 05401
211 Juniper Drive S. Burlington, VT 05403

Citizenship: U.S.A.

Post Office Address: Same As Residence

10/17/00
Date

BUR9-1999-0299-US1

(1) Inventor: James W. Adkisson

Signature: *James W. Adkisson*

Residence: 55 Fields Lane, Jericho, Vermont 05465

Citizenship: U.S.A.

Post Office Address: Same As Residence

10/17/00
Date

(2) Inventor: Paul D. Agnello

Signature: *Paul D. Agnello*

Residence: 17 Bray Farm Lane, Wappingers Falls, New York 12590

Citizenship: U.S.A.

Post Office Address: Same As Residence

Date
10/23/00

(3) Inventor: Arne W. Ballantine

Signature: _____

Residence: 9 Forge Gate Drive, Unit E-8, Cold Spring, New York 10516

Citizenship: U.S.A.

Post Office Address: Same As Residence

Date

(4) Inventor: Rama Divakaruni

Signature: _____

Residence: 131B Heritage Hills, Somers, New York, 10589

Citizenship: U.S.A.

Post Office Address: Same As Residence

Date

(5) Inventor: Erin C. Jones

Signature: _____

Residence: 192 Midland Ave., Tuckahoe, New York, 10707

Citizenship: U.S.A.

Post Office Address: Same As Residence

Date

(6) Inventor: Jed H. Rankin

Signature: *Jed H. Rankin*Residence: ~~220 Riverside Ave., Apt. #C8, Burlington, Vermont 05401~~
211 SWANSEE Drive S. Burlington, VT 05403

Citizenship: U.S.A.

Post Office Address: Same As Residence

10/17/00
Date

page 2 of 3

10064171-061802

BUR9-1999-0299-US1

(1) Inventor: James W. Adkisson

Signature: _____

Date

Residence: 55 Fields Lane, Jericho, Vermont 05465

Citizenship: U.S.A.

Post Office Address: Same As Residence

(2) Inventor: Paul D. Agnello

Signature: _____

Date

Residence: 17 Bray Farm Lane, Wappingers Falls, New York 12590

Citizenship: U.S.A.

Post Office Address: Same As Residence

(3) Inventor: Ame W. Ballantine

Signature: _____

Date

Residence: 9 Forge Gate Drive, Unit E-8, Cold Spring, New York 10516

Citizenship: U.S.A.

Post Office Address: Same As Residence

(4) Inventor: Rama Divakaruni

Signature: Rama Divakaruni

Oct. 24, 2000

Date

Residence: 131B Heritage Hills, Somers, New York, 10589

Citizenship: U.S.A.

Post Office Address: Same As Residence

(5) Inventor: Erin C. Jones

Signature: _____

Date

Residence: 192 Midland Ave., Tuckahoe, New York, 10707

Citizenship: U.S.A.

Post Office Address: Same As Residence

(6) Inventor: Jed H. Rankin

Signature: _____

Date

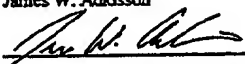
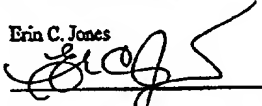
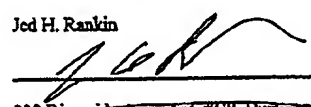
Residence: 220 Riverside Ave., Apt. #C8, Burlington, Vermont 05401

Citizenship: U.S.A.

Post Office Address: Same As Residence

page 2 of 3

BUR9-1999-0299-US1

- (1) Inventor: James W. Adkisson
Signature:  10/17/00
Residence: 55 Ficks Lane, Jericho, Vermont 05465
Citizenship: U.S.A.
Post Office Address: Same As Residence
- (2) Inventor: Paul D. Agnello
Signature: _____
Residence: 17 Bray Farm Lane, Wappingers Falls, New York 12590
Citizenship: U.S.A.
Post Office Address: Same As Residence
- (3) Inventor: Arne W. Ballantine
Signature: _____
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Citizenship: U.S.A.
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- (4) Inventor: Rama Divakaruni
Signature: _____
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- (5) Inventor: Erin C. Jones
Signature:  11/3/00
Residence: 192 Midland Ave., Tuckahoe, New York, 10707
Citizenship: U.S.A.
Post Office Address: Same As Residence
- (6) Inventor: Jed H. Rankin
Signature:  10/17/00
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211 Juniper Drive S. Burlington, VT 05403
Citizenship: U.S.A.
Post Office Address: Same As Residence

page 2 of 3

Title 37, Code of Federal Regulations, §1.56(a):

(a) A duty of candor and good faith toward the Patent and Trademark Office rests on the inventor, on each attorney or agent who prepares or prosecutes the application and on every other individual who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application. All such individuals have a duty to disclose to the Office information they are aware of which is material to the examination of the application. Such information is material where there is substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent. The duty is commensurate with the degree of involvement in the preparation or prosecution of the application.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability; or (2) it refutes, or is inconsistent with, a position the applicant takes in: (i) opposing an argument of unpatentability relied on by the Office, or (ii) asserting an argument of patentability.

10064171.061802

FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 740

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 09-0456



Deposit Account Name: International Business Machines Corporation

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

SUBMITTED BY

Authorized Name: Mark F. Chadurjian

Electronic Signature Mark: Mark F. Chadurjian / s /

Date Signed: 20020614

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 8	103	\$ 18	0	\$ 0
Independent Claims: 1	102	\$ 84	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0

10064171-061502